DM 18-086



CONFIDENTIAL MATERIAL IN COMM FILF 159 20th St., Suite 18 Brooklyn, NY 11232

P. 212 590 0145

info@feller.law www.feller.law

Via FedEx Via Electronic Mail

May 21, 2018

Executive Director New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 MHPUC 22MAY 18AM11:13

### **Re: Initial Electric Broker Application of Electricity Ratings, LLC**

To the Executive Director of the New Hampshire Public Utilities Commission:

Enclosed please find for filing two (2) copies of Electricity Ratings, LLC's ("Electricity Ratings") confidential information (included as "Attachment G") as part of its Membership Application to become a licensed Competitive Electric Aggregator with the New Hampshire Public Utilities Commission. Electricity Ratings submitted its initial application (including original signature pages and the \$250.00 application fee) on May 16, 2018.

A separate PDF of both the confidential and non-confidential application will also be emailed to <u>Executive.Director@puc.nh.gov.</u>

If we may provide you with additional information in the meantime, please do not hesitate to contact me.

Respectfully,

/s/ Lena Golze Desmond, Esq. Lena Golze Desmond, Esq. Feller Law Group, PLLC 159 20<sup>th</sup> St, Suite 1B Brooklyn, NY 11232 (212) 590-0145 lenadesmond@feller.law Counsel for Electricity Ratings, LLC

Enc.

### **Electricity Ratings, LLC Initial Application to become an Electric Aggregator**

*Puc* 2006.02 *Form for Initial and Renewal Registration of Competitive Electric Power Suppliers.* (a) *The registration application required by Puc* 2003.01(*a*) *and Puc* 2003.02(*b*) *shall include the following:* 

### I. Applicant's General Information

## **1.** 2006.02(a) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state, and, if available, its website address;

Name: Electricity Ratings, LLC Trade Name: N/A Website: <u>www.texaselectricityratings.com</u>

## 2. 2006.02(b)The applicant's business address, telephone number, e-mail address, and website address, as applicable;

Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel.: (713) 828-9096 Email: <u>moberle@texaselectricityratings.com</u> Website: www.texaselectricityratings.com

# **3.** 2006.02(c) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) if the applicant is anything other than an individual;

Principal Name: Matt Oberle Title: Managing Member, Electricity Ratings, LLC Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel: (713) 828-9096 Email: moberle@texaselectricityratings.com

Name: Karl Trollinger Title: CEO, Electricity Ratings, LLC Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel: (713) 594-7819 Email: ktrollinger@texaselectricityratings.com

# 4. 2006.02(d) The telephone number of the applicant's customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;

Name: Matt Oberle Title: Managing Partner and V.P. of Operations Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel: (713) 828-9096 Toll Free Tel: (866) 303-9147 Email: <u>moberle@texaselectricityratings.com</u> Customer Service Email: <u>moberle@texaselectricityratings.com</u>

## 5. 2006.02(e)(1) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to customer complaints;

Name: Matt Oberle Title: Managing Partner and V.P. of Operations Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel: (866) 303-9147 Email: <u>moberle@texaselectricityratings.com</u>

## 6. 2006.02(e)(2) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to regulatory compliance matters;

Name: Matt Oberle Title: Managing Partner and V.P. of Operations Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel: (866) 303-9147 Email: <u>moberle@texaselectricityratings.com</u>

## 7. 2006.02(e)(3) The name, title, business address, telephone number, and e-mail address of the individual responsible for responding to Commission Assessment Payments;

Name: Matt Oberle Title: Managing Partner and V.P. of Operations Business Address: 1502 Sawyer St., Ste 130, Houston, TX 77077 Tel: (866) 303-9147 Email: <u>moberle@texaselectricityratings.com</u>

### II. Separate Attachments: Business Authority and Trade Name

8. 2006.02(f) A copy of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state, if anything other than an individual;

Please see Exhibit A\_New Hampshire Authorization

9. 2006.02(g) Evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state

N/A.

### **III.** Statements Regarding Applicants and its Principals

## **10.** 2006.02(h) (1) Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?

Neither applicant nor any of its principals has ever been convicted of any felony that has not been annulled by a court.

# **11.** 2006.02(h) (2) Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?

Neither Applicant nor any of its principals, within the 10 years immediately prior to application, have had any civil, criminal, or regulatory sanctions or penalties imposed pursuant to any state or federal consumer protection law or regulation.

## 12. 2006.02(h) (3) Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?

Neither applicant or any of its principals, within the 10 years immediately prior to application, have settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation.

# 13. 2006.02(h) (4) Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? NO

# 14. 2006.02(h) (5) Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?

Neither applicant nor any of its principals have denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction.

### **IV.** Other States

## **15.** 2006.02(i) Other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers:

### States where active and broker license required:

- 1) Illinois Electric / 17-0236 / June 27, 2017
- 2) New Jersey / EA-0415 / June 24, 2017
- 3) Ohio Electric / 17-1235-EL-AGG / June 16, 2017
- 4) Ohio Gas / 17-1236-GA-AGG / June 16, 2017
- 5) Maryland Electric / IR-3891 / Oct 18, 2017
- 6) Maryland Gas / IR-3892 / Oct 18, 2017
- 7) Massachusetts Electric / EB-429 / (12/19/17)
- 8) Massachusetts Gas / RA-203 (3/2/18)
- 9) Pennsylvania Electric / A-2017-2618301
- 10) Pennsylvania Gas / A-2017-2638656

### States where active but no broker license required:

- 1) California
- 2) Connecticut
- 3) New York
- 4) Texas

### V. Statement Regarding Supplier Representation

16. 2006.02(j) Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest? If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.

Yes.

Please see <u>confidential Attachment G</u> for list of suppliers.

### VI. Expected Marketing Start Date

## 17. 2006.02(k) Please provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.

Date: Upon approval of its New Hampshire broker license.

### VII. Attestation and Signature

18. 2006.02(l and m)

BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.

Signature of the Applicant or its authorized representative: \_\_\_\_\_\_

Name: Matt Oberle

Title: Managing Partner and V.P. of Operations

Date: 5-3-2018

### **ATTACHMENT A New Hampshire Department of State Registration**

## **license**logi×

October 14, 2016

New Hampshire Department of State Corporation Division 107 N Main Street, Room 204 Concord, NH 03301

#### Re: Electricity Rating, LLC Registration of a Foreign Limited Liability Company

To Whom It May Concern:

Enclosed please find a **Registration of a Foreign Limited Liability Company** for our client, **Electricity Rating, LLC**. Once the application has been processed, please forward evidence of approval to the mailing address on the application. If there is any issue, or if you require any further information, please do not hesitate to contact us.

Thank you,

LicenseLogix 140 Grand Street, Suite 300 White Plains, NY 10601 service@licenselogix.com (800) 292-0909 State of New Hampshire

Filing fee: \$100.00 Use black print or type. Form FLLC-1 RSA 304-C:175

#### APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is Electricity Ratings, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_

	<b>Principal Business Inf</b>	ormation:		
Principal Office Address:	1714 Bevis Street	Houston, TX	77008	
(no. & street)		(city/town)	(state)	(zip code)
Principal Mailing Address (if	different):(no. & street)	(city/town)	(state)	(zip code
Business Phone: 713-828-	•	(city/town)	(state)	(zip code
Business Email: moberle@	gmail.com			
Please check if you wo	uld prefer to receive the Annu	ual Report Reminder N	lotice by ema	ail.
THIRD: It is formed under the	laws of <u>Texas</u>			
FOURTH: The date of its form	ation is <u>10/29/2009</u>			
FIFTH: Describe the nature of	the business or purposes to	be conducted or prom	oted in New I	Hampshire
(and if known, list the NAICS C	ode and Sub Code):			
Energy Supply				
<b>SIXTH:</b> The name of its register Corporate Creations Networ		<u>re</u> is:		
The complete address of its reg 13 Executive Park Drive #20	and the state of the second second second second	PSHIRE (agent's busin	ess address	) is:

*Signature:	Matt Oberle	
Print or type name:	Matthew Oberle	
Title:	Manager	

October 14, 2016

77008

Date signed:

Complete address of person signing: 1714 Bevis Street

Houston,TX

Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.